Brown et al v. Medicis Pharmaceutical Corporation,

No. 1:13-cv-01345-RJL

# Exhibit 3

Exhibit to Plaintiffs' Memorandum of Points and Authorities in Support of Joint Motion for Preliminary Approval of the Modified Class Settlement

### Case 1:13-cv-01345-RJL Document 30-4 Filed 01/14/15 Page 2 of 13

### \*CLAIM FORM\*

[NAME AND ADDRESS LABEL]

You must postmark or e-mail th	i
Claim Form by no later than	

### You are eligible for a monetary award if you complete this claim form.

A class action settlement allows women who were field sales employees for Medicis Pharmaceutical Corp.

at any time between April 15, 2008 and December 10, 2012, to submit a claim for a monetary award for alleged discrimination between February 9, 2007 and December 10, 2012.

#### \* INSTRUCTIONS \*

The monetary award that you receive will be determined by the Claims Administrator based on the answers you provide on this claims form and your tenure at Medicis.

You must sign and date your Claim Form under penalty of perjury under U.S. law.

All information contained in this Claim Form will be kept confidential.

You may use additional sheets of paper to answer any question. If you do, please put your name, social security number, and the question number on each sheet of paper. Attachments that do not list the relevant question number will not be considered.

To be eligible to receive money from the Settlement, you must complete, sign, and return this Claim Form by \_\_\_\_\_(postmark date) to:

CLAIMS ADMINISTRATOR
MEDICIS GENDER SETTLEMENT
POST OFFICE BOX \_\_\_\_
TALLAHASSEE, FL 32302
OR
VIA EMAIL TO \_\_\_\_

If you submit your claim form by mail, it is strongly recommended (but not required), that you keep a receipt showing delivery confirmation, the postmark date, and method of delivery.

Please keep a copy of your claim form and any documents you submit with it. The Claims Administrator cannot return any claim forms or other documents.

### **REQUIRED BACKGROUND INFORMATION**

l.		NAME:
2.		PHONE:
3.		PERSONAL EMAIL ADDRESS (if any):
1.		DATE OF BIRTH (Month/Day/Year):
5.		SOCIAL SECURITY NUMBER:  [CLAIM FORMS THAT LACK SOCIAL SECURITY NUMBERS CANNOT BE PROCESSED]
6.		ADDRESS. Please make any changes to your address here.
7.		Have you previously signed a document releasing claims of gender discrimination against Medicis?
		□ Yes □ No
	a.	If "Yes," please provide a description of the claims related to the release, the date the release was signed, and the time period covered by the release. Please also enclose a copy of the release.
	_	

#### **REQUIRED JOB HISTORY**

### You <u>must</u> complete this section.<sup>1</sup>

According to Medicis records, you were employed with Medicis in an eligible Field Sales position in the following job titles between February 9, 2007, and December 10, 2012:

[INSERT INFORMATIO	N]			
8. Is the above information	on correct?   Yes	□ No		
	or dates of employment between se list your actual job titles a	2 -	· · · · · · · · · · · · · · · · · · ·	
Job Title	Employer –	Start Date	End Date	
	Medicis, Quintiles,	(Month, Day, Year)	(Month, Day, Year)	
	Innovex, QFR Solutions			
<u> </u>				

Please enclose documentary proof of your correct job title, employer, start and/or end dates, such as an offer letter and paystubs. Failure to include documentation may result in a denial of your corrections.

<sup>&</sup>lt;sup>1</sup> The Claims Administrator will determine your award based partly on the length of time that you worked for Medicis in an eligible Field Sales position between February 9, 2007 and December 10, 2012. Eligible Field Sales positions include: Professional Sales Specialist, Senior Sales Specialist, Executive Sales Specialist, Territory Manager, Professional Territory Manager, Senior Territory Manager, Executive Territory Manager, Regional Manager, Senior Regional Manager and Executive Regional Manager.

# FACTS DIRECTLY SUPPORTING YOUR CLAIMS OF GENDER DISCRIMINATION

If you experienced gender discrimination at Medicis, you may complete this section to be considered for an additional monetary award.<sup>2</sup>

10. Do you believe you experienced gender discrimination as a Field Sales employee that affected your pay or job position at Medicis between February 9, 2007 and December 10, 2012?				
□ Yes □ No				
If you answered "Yes" to Question 10, choose one or more of the following boxes in Questions 11-15 that best describes your experience and provide the requested information underneath each box that you select.				
11. $\square$ You were hired into a lower-level job title than male Field Sales employees with similar experience and credentials who were hired around the same time.				
Date you applied for the position:				
Name of the position applied for and actually received:				
Managers involved:				
Names of similarly situated male Field Sales employees:				
Job title the male employee applied for and received:				
Additional information, such as the basis for your belief that you had similar experience and credentials as one or more men hired into the higher-paid position:				
12. □ Similarly situated male Field Sales employees were promoted to higher-level Field Sales positions over you.				
Date you applied for the position:				
Name of the position for which you applied:				
Your job title at the time you applied:				
Managers involved:				
Names of similarly situated male Field Sales employees who received the position for which you applied:				
The job title held by the male before he was promoted:				
Additional information, such as the basis for your belief that you had equal or better credentials than one or more men promoted into the higher-paid position:				

<sup>&</sup>lt;sup>2</sup> This section is optional. If you do not believe that you experienced sex discrimination in pay or in promotions or other decisions affecting you job level, or if you do not wish to answer these questions, you may skip this section. If you skip this section, don't forget to sign your claim form.

	nilarly situated male Field Sales employees received training and/or career
advancement opp	ortunities that were denied to you.
Date <sup>.</sup>	
	erience you were denied:
-	al of training or opportunities impact your career or compensation?
Name of male em	nployee:
	ning or opportunities that the male employee received that you were denied:
Managers involve	ed:
	nilarly situated male Field Sales employees received higher compensation than you k, for reasons such as (select one or more):
	☐ Male Field Sales employees who had the same job title as you and similar experience to you received a higher salary than you.
	☐ Male Field Sales employees (who had similar territory and accounts to you) received more favorable or easier to meet sales goals resulting in higher commissions and/or bonuses.
	☐ Similarly situated male Field Sales employees received sales assistance product samples, or other support that were denied to you resulting in higher commissions and/or bonuses.
	□ Other. Identify:
discrimination as	box that you checked as the reason(s) for believing that you suffered to compensation, please answer the following for Question 14: ation and the job title you held at the time:
	ritory and goal at the time:
	rimination impact your compensation, if at all?
Name and position	on of similarly situated male Field Sales employee who received more favorable
List the male emp	ployee's title, territory and goal:
	reatment did the male employee receive?
——————————————————————————————————————	ed:
	n:

•	tted male Field Sales employees received more favorable treatment than you, such as (select one or more):
custom did not	le employees' sales territories were covered by Medicis (i.e. their ers received service) when men went on a leave of absence, but Medicis cover your sales territory (i.e. visit your customers) when you went on ar leave of absence.
	You lost consistency bonus payments because you were on a leave of e but one or more men on a leave of absence did not.
	Other. Identify:
	ou checked as the reason(s) for believing that you suffered absence, please answer the following for Question 15:
Date or Sales Quarter that di	scrimination occurred and the job title you held at the time:
Reason for and length of you	ir leave of absence:
How did this impact your co	mpensation, if at all?
Number of quarters you rece	ived a consistency bonus prior to your leave of absence:
Name and job title held of ar	y similarly situated male Field Sales employee treated more favorably:
Describe male employee's re	eason for and length of leave of absence, if known:
Managers involved:	
FACTS I	NDIRECTLY SUPPORTING YOUR CLAIMS OF GENDER DISCRIMINATION
you may complete this because those events	er types of gender discrimination or harassment at Medicis, section to be considered for an additional monetary award may provide corrobative evidence of managers' intent to nate in pay or promotions. (Questions 16-20) <sup>3</sup>
Note: In investigating this case, the between men and women. Allegate Claims Administrator unless subst	inated because of your sex or because of pregnancy.  e Plaintiffs did not observe statistically significant disparities in termination rates tions of sex discrimination resulting in termination will not be considered by the antial details are provided, including:

<sup>&</sup>lt;sup>3</sup> This section is optional. If you do not believe that you experienced any of the events below that provide indirect evidence of sex discrimination in pay or promotions, or if you do not wish to answer these questions, you may skip this section. If you skip this section, don't forget to sign your claim form.

Your job title at the time you were terminated:			
Managers involved:			
Your percentage of sales goal attainment for four quarters prior to your termination;			
Reasons given by management for your termination:			
Name of similarly situated male Field Sales employee who was similarly situated to you who was not terminated:			
The job title held by the male employee who was not terminated:			
The male employee's percentage of sales goal attainment for four quarters prior to your termination			
Additional information, such as the basis for your belief that you had equal or better credentials and performance than one or more men who was not terminated:			
Please attach a copy of the email or letter from Medicis notifying you of your termination.			
17. \( \subseteq \) You experienced other discrimination because of your sex or pregnancy.			
Explain:			
18.   \[ \sum You experienced improper questioning in your initial interview by one or more \]			
members of the "Control Group.".			
Note: For purposes of this form, the "Control Group" includes the CEO Jonah Shacknai and other senior management who had overall responsibility for the Company's policies and practices: Vincent Ippolitio, Richard Havens, Claude Maraoui, Richard Nevin and Louis Frisina.			
Month and year you were interviewed:			
Control Group members involved:			
Topics of improper questions, such as marital or relationship status or childbearing plans:			
19. □ You experienced or witnessed improper comments or actions from members of the Control Group while you were employed as a Field Sales employee between February 9, 2007 and December 10, 2012.			
Approximately when did the behavior(s) occur:			
Control Group member(s) involved:			
Improper comment(s) or action(s):			

December 10, 2012 white response to questions 18 If "Yes," please explain	ced or witnessed any sexual harassment between February 9, 2007 and le you were a Field Sales employee with Medicis that is not identified in 8 or 19. any sexual harassment that you believe you experienced, including tors and any managers that were involved.
section to be consider	out gender discrimination at Medicis, you may complete this red for an additional monetary award because the complaint aporaneous evidence of your belief that you suffered illegal discrimination. (Questions 21-22) <sup>4</sup>
2 1	anagement or Human Resources between February 9, 2007 and ut what you believe was gender discrimination?
<ul><li>(i) The date of your</li><li>(ii) The manager(s) of</li><li>(iii) Describe the cort</li><li>(iv) The outcome of</li><li>(v) Was the complain</li><li>complaint):</li></ul>	mplaint that you made, please provide:  complaint:  or Human Resources individual to whom you complained:  nduct about which you complained:  each complaint, if known:  int made in writing or was it verbal (if written provide a copy of the  that you believe you suffered as a result of the complaint:
, , ,	ints of gender discrimination with any government agency (such as the ortunity Commission) or any court?
□ Yes □ No	
<ul><li>(i) The date of your</li><li>(ii) The agency or co</li><li>(iii) Describe the cor</li><li>(iv) The case or action</li></ul>	mplaint that you made, please attach a copy of the complaint as well as:  complaint:  curt to whom you complained:  duct about which you complained:  on number:  each complaint:

<sup>&</sup>lt;sup>4</sup> This section is optional. If you did not complain of gender discrimination, or if you do not wish to answer these questions, you may skip this section. If you skip this section, don't forget to sign your claim form.

(vi) Any retaliation that you believe you suffered as a result of the complaint: \_\_\_\_\_

www.medicisgendersettlement.com

## MEDICAL OR EMOTIONAL EFFECTS OF DISCRIMINATION

# If you experienced medical or emotional harm because of gender discrimination at Medicis, then you may complete this section.<sup>5</sup>

23.	Do you contend that any of the sex discrimination that you allege in this Claim Form resulted in emotional, mental or physical injury to you?				
		Yes	□ No		
		Februa	es," please answer (a) to (f) below for each healthcare treatment you sought between ary 9, 2007 and December 10, 2012, related to the emotional, mental or physical injury you and you experienced because of gender discrimination at Medicis:		
	a.	Name,	, title, address, and phone number of health care professional:		
	b.	Dates	of visits to health care provider:		
	c.	Reaso	n for visits/symptoms:		
	d.	Diagn	osis:		
	e.	Please	e list any medications prescribed to you as a result of this diagnosis:		
	f.	Descri Medic	ibe why you believe the symptoms and/or diagnosis is related to gender discrimination at is:		

<sup>&</sup>lt;sup>5</sup> This section is optional. The Claims Administrator will use this information in evaluating your claims of sex discrimination. If you do not believe that you experienced medical or emotional harm, or if you do not wish to answer these questions, you may skip this section. If you skip this section, don't forget to sign your claim form.

#### **CONTRIBUTIONS TO THE LITIGATION AND RELEASE**

If you contributed to the investigation of this lawsuit or the settlement negotiations, you are eligible for an additional monetary award if you complete this section.<sup>6</sup>

	tior to December 10, 2012, did you contribute to the investigation of this lawsuit against Medicis or tilement negotiations?
	Yes $\square$ No
a.	If "Yes," please provide:  (i) The date(s) that you contacted Class Counsel:
	(ii) The method of communication (i.e., phone, meeting, email):
	(iii) The name(s) of the attorney or staff with whom you communicated:
	(iv) Describe the information or documents you provided:
b.	Please describe any other contributions.
	f you are one of the seven women who initiated this lawsuit, please attach a description of any s – in addition to gender discrimination – that you will be releasing against Medicis

<sup>&</sup>lt;sup>6</sup> This section is optional. If you do not believe that you contributed to the lawsuit, or if you do not wish to answer these questions, you may skip this section. If you skip this section, don't forget to sign your claim form.

#### REQUIRED SWORN AFFIRMATION AND SIGNATURE

I declare under the penalty of perjury that the information and facts I have stated in this claim form and in any attachments are true and accurate to the best of my personal knowledge. I understand that making a knowingly false statement may subject me to prosecution for perjury.

I understand that I must keep the Claims Administrator informed of my current address and of any change in my home address. If I do not do so, I understand that I may not receive any award to which I might otherwise be entitled.

Executed this	day of	, 2015	
			Signature of Claimant
			*Unsigned claim forms will not be processed.
			Typed or Printed Name of Claimant
			Social Security Number of Claimant
			R COMPLETED CLAIM FORM AND ANY ATTACHMENTS WILL BE RETURNED TO YOU.
To be eligible t	o receive mone	=	ement, you must complete, sign, and return this Claim _(postmark date) to:
		CLAIMS A	DMINISTRATOR
		MEDICIS GEN	NDER SETTLEMENT
		POST OF	FICE BOX
		TALLAH	ASSEE, FL $\overline{32302}$
			OR ´
		VIA EMAIL	TO

If you submit your claim form by mail, it is strongly recommended that you keep a receipt showing delivery confirmation, the postmark date, and method of delivery.